IAP6 Rec'd PCT/PTO 21 APR 2006

PTO-1390 (Rev. 2-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Onice; U.S. DEPARTMENT ON COMMERCE U.S. Patent Onice; U.S. DEPARTMENT ONICE; U.S. DEPARTMENT ON COMMERCE U.S. DEPARTMENT ON COMERCE U.S. DEPARTMENT ON COMMERCE U.S. DEP

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) RNING A SURMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

U.S. APPLICATION NO (If known see 17 C.F.R. 1.5)

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INTER		IONAL APPLICATION NO. CT/EP2004/011934	INTERNATIONAL FILING DATE 21 October 2004	PRIORITY DATE CLAIMED 24 October 2003 and 26 May 2004									
TITLE	TITLE OF INVENTION PROTECTION FROM UNDESIRABLE MESSAGES												
APPLICANT(S) FOR DO/EO/US Michael GREVE													
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:													
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.											
2.			UENT submission of items concerning a fi										
3.	X	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.											
4.	$\boxtimes$	The US has been elected (Artic	ile 31).										
5.	$\boxtimes$	A copy of the International Appl	lication as filed (35 U.S.C. 371(c)(2))										
		<ul> <li>a.  is attached hereto (required only if not communicated by the International Bureau).</li> <li>b.  is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>											
6.	$\boxtimes$	An English language translation	n of the International Application as filed (3	35 U.S.C. 371(c)(2)).									
		<ul> <li>a.  is attached hereto.</li> <li>b.  has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>											
7.		Amendments to the claims of the	he International Application under PCT Art	icle 19 (35 U.S.C. 371(c)(3))									
		<ul> <li>a. are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. have been communicated by the International Bureau.</li> <li>c. have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. have not been made and will not be made.</li> </ul>											
8.		An English language translation	n of the amendments to the claims under I	PCT Article 19 (35 U.S.C. 371(c)(3)).									
9.		An oath or declaration of the in	ventor(s) (35 U.S.C. 371(c)(4)).										
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).											
Iten	ns 11	to 20 below concern docume	nt(s) or information included:	·									
11.	$\boxtimes$		ement under 37 CFR 1.97 and 1.98.										
12.		An assignment document for re	ecording. A separate cover sheet in comp	pliance with 37 CFR 3.28 and 3.31 is included.									
13.	$\boxtimes$	A preliminary amendment.											
14.	$\boxtimes$	An Application Datasheet under	er 37 CFR 1.76.										
15.		A substitute specification.											
16.		A power of attorney and/or cha											
17.		A computer-readable form of the	he sequence listing in accordance with PC	CT Rule 13ter.2 and 37 CFR 1.821 - 1.825.									
18.			d international application under 35 U.S.C										
19.		A second copy of the English I	language translation of the international ap	oplication under 35 U.S.C. 154(d)(4).									
20.	$\boxtimes$	Other items or information: Letter re: Priority; Copy of Une	executed Declaration and Power of Attorne	<b>3</b> y									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	U.S. APPLICAT	S. APPLICATION NO. (If known, see 37 C.F.R. 1.50)  INTERNATIONAL APPLICATION NO.  PCT/EP2004/011934				ATTORNEY'S DOCKET NO.  5048.1004							
Ì	The The	following	ing fees have been submitted						CAL	CULATIONS	PTO USE ONLY		
١	21.								\$ 30	00			
	22. Examination fee  If International preliminary examination report prepared by USPTO ands all claims satisfy provisions of PCT Article 333(1)-(4)									\$ 200			
	23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500									\$ 400			
		TOTAL OF 21, 22 and 23 =							\$ 90	10			
	sequence	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.											
L	Total Sheets	Extra	Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)									
	46 - 100 =		/50 =				× \$250	=	\$				
Ĺ	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))								\$				
	CLAIMS		NUME	BER FILED	NUMBER EXTRA		RATE						
,	Total Claims			1 - 20 =	0	×	\$50	=	\$		<u> </u>		
ŀ	Independent Cl			1 - 3 =	0	×		=	\$				
ŀ	MULTIPLE DEI	PENDEN	T CLAIM	+	\$		<u> </u>						
ŀ	□ A - E - 4				TOTAL OF ABO				\$ 90	0			
-		nt claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced							\$				
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		rocessing fee of \$130.00 for furnishing the English translation later than 30 months from e earliest claimed priority date (37 CFR 1.492(f)).									\$		
t					ATIONAL F	EE =	\$ 900						
	Fee for recordir accompanied b	ng the en	closed as	signment (37 over sheet (37	CFR 1.21(h)). The as 7 CFR 3.28, 3.31). <b>\$4</b>	ssignm 0.00 pe	ent must be er property	+	\$				
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ſ	a. 🛛 A check	in the ar	nount of	\$ <u>900</u> to cover	the above fees is end	closed.			<del></del>				
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.												
	c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0552</u> . A duplicate copy of this sheet is enclosed.												
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.												
	NOTE: Where	an appro	opriate ti	me limit unde	er 37 CFR 1.494 or 1.	.495 ha	s not been				7 CFR 1.137(a) or		
	(b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:												
	Davidson, Davidson & Kappel, LLC  485 Seventh Avenue, 14th Floor												
	485 Seventh Avenue, 14th Floor   New York, NY 10018   Erik R. Swanson   (212)-736-1940   NAME												
	Dated: April 20, 2006 40,833  REGISTRATION NUMBER												
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Calvin Ashby III

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each submitted paper.

Re.: Docket No.: 5048.1004

Applicant(s): Michael GREVE Serial No.: To be assigned

Invention: PROTECTION FROM UNDESIRABLE MESSAGES

Filing Date: Herewith

- Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (2 pages);
- Copy of Int. Patent Application PCT/EP2004/011934 (including 12 sheets of drawings) w/ Int. Search Report (48 + 4 pages);
- -Translation of Int. Patent Application PCT/EP2004/011934 (34 pages) + Drawings (12 sheets);
- Preliminary Amendment (3 pages);
- Copy of Unxecuted Declaration and PoA (1 page);
- Application Data Sheet (2 pages):
- Letter re: Priority (1 page);
- Information Disclosure Statement (2 pages) w/ PTO-1449 (1 page) and Copies of Cited References;
- Return Receipt Postcard;
- Check in the amount of \$900.00

This collection of information is required by 37 CFR 1.10. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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